

PADONA 2017

Department of Health Update

Presented by:

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➤ Facility and Survey Data 2016

Facilities

- ▀ 704 Facilities
- ▀ 88,184 Beds

Surveys Completed

- ▀ 5,320 Total surveys
- ▀ 712 Re-licensure/recertification surveys (Full Health Surveys)
- ▀ 1,706 Revisits (to all types of surveys)
- ▀ 4,239 On-site visits (includes complaint visits)

► Facility and Survey Data 2015

Facilities

- ▼ 702 Facilities
- ▼ 88,233 Beds

Surveys Completed

- ▼ 4,277 Total surveys
- ▼ 711 Re-licensure/recertification surveys (Full Health Surveys)
- ▼ 1,316 Revisits (to all types of surveys)
- ▼ 3,327 On-site visits (includes complaint visits)

▶ Statewide Deficiency Free Surveys

2014

- 68 Full Health Surveys were deficiency free

2015

- 53 Full Health Surveys were deficiency free

2016

- 38 Full Health Surveys were deficiency free

Surveys with Scope & Severity D & Above

	<u>2014</u>	<u>2015</u>	<u>2016</u>
Standard Surveys	629	650	665
Complaint Surveys	455	703	996
Substandard Quality of Care	1	3	6
Immediate Jeopardy Tags	11	12	39

► Frequency of DNCF Visits 2016

Number of Visits	% of PA facilities
20 +	0.85%
10 to 19	17.90%
6 to 9	25.43%
<i>2 to 5</i>	<i>45.31%</i>
1	9.80%
0	0.71%

► Frequency of DNCF Visits 2015

Number of Visits	% of PA facilities
20 +	1.00%
10 to 19	8.83%
6 to 9	21.51%
<i>2 to 5</i>	<i>53.85%</i>
1	14.10%

▶ Provisional Licenses Issued

- 2009 – 29
- 2010 – 10
- 2011 - 18
- 2012 – 2
- 2013 – 2
- 2014 – 9
- 2015 – 19
- 2016 – 39

State Actions

Total state actions for 2014	Total state actions for 2015	Total state actions for 2016
PI/CMP= 1	PI/CMP= 6	PI/CMP= 3
PII/CMP= 2	PII/CMP= 2	PII/CMP= 0
PIII/CMP= 0	PIII/CMP= 0	PIII/CMP= 0
PIV/CMP = 0	PIV/CMP = 0	PIV/CMP = 0
PI only= 4	PI only= 7	PI only= 32
PII only= 2	PII only= 2	PII only= 4
PIII only = 0	PIII only = 1	PIII only = 0
PIV only= 0	PIV only= 1	PIV only= 0
CMP only= 8	CMP only= 24	CMP only= 53
Total = 17	Total = 43	Total = 92

PI=Provisional I license
PII=Provisional II license
PIII=Provisional III license
PIV = Provisional IV license
CMP=Civil Monetary Penalty

2016 Complaint Data

Complaint Data

- Total received= 3,485
- Total substantiated= 1,208 (34.66%)
- Onsite investigations conducted= 3,174 (91.08%)
- Substantiated complaints with citations issued at "G" or above= 139 (3.99%)

Most Frequently Filed

- Care or Services 64.45%
- Resident Rights 17.18%
- Environment 11.31%

Complaint Tags

- Total tags cited related to complaints = 685
- Highest S/S cited during complaint surveys = L

2015 Complaint Data

Complaint Data

- Total received= 2,591
- Total substantiated= 863 (33.31%)
- Onsite investigations conducted= 2,330 (89.93%)
- Substantiated complaints with citations issued at "G" or above= 73 (2.82%)

Most Frequently Filed

- Care or Services 66.77%
- Resident Rights 14.73%
- Environment 9.32%

Complaint Tags

- Total tags cited related to complaints = 642
- Highest S/S cited during complaint surveys = L

2014 Complaint Data

Complaint Data

- Total received= 1,930
- Total substantiated= 483 (25.03%)
- Onsite investigations conducted= 1,725 (89.38%)
- Substantiated complaints with citations issued at "G" or above= 29 (1.50%)

Most Frequently Filed

- Care or Services 65.99%
- Resident Rights 16.98%
- Environment 8.79%

Complaint Tags

- Total tags cited related to complaints = 388
- Highest S/S cited during complaint surveys = L

➤ Frequently Cited Tags

Listed below are the top 5 most frequently cited tags in order from most cited.

<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>
F309	F309	F309	F309
F441	F441	F441	F323
F323	F514	F514	F441
F514	F323	F323	F514
F371	F371	F371	F371

0309 =PROVIDE CARE/SERVICES FOR HIGHEST WELL BEING

0441 =INFECTION CONTROL, PREVENT SPREAD, LINENS

0514 =RES RECORDS-COMPLETE/ACCURATE/ACCESSIBLE

0323 =FREE OF ACCIDENT HAZARDS/SUPERVISION/DEVICES

0371 =FOOD PROCURE, STORE/PREPARE/SERVE - SANITARY

2016 Incidents

- Total number of incident reports received:
23,398
- Most Frequently reported events
 - ▮ Transfer to Hospital – **10,489**
 - ▮ Abuse – **3,647**
 - ▮ Other – **3,154**

2015 Incidents

- Total number of incident reports received:
21,788
- Most Frequently reported events
 - ▮ Transfer to Hospital – **10,432**
 - ▮ Abuse – **2,941**
 - ▮ Other – **2,547**

IDR

2013

69 Tags disputed

19% deleted (13)

7% revised (5)

72% upheld (50)

0% withdrawn (0)

2014

60 Tags disputed

15% deleted (9)

20% revised (12)

63% upheld (38)

2% withdrawn (1)

2015

131 Tags disputed

25% deleted (33)

11% revised (15)

63% upheld (82)

1% withdrawn (1)

2016 (1/1/16-10/31/16)

172 Tags disputed

27% deleted (47)

11% revised (18)

60% upheld (104)

2% withdrawn (3)

State IIDR

2013

14 tags disputed

0% deleted (0)

7% revised (1)

86% upheld (12)

7% withdrawn (1)

2014

24 tags disputed

25% deleted (6)

0% revised (0)

75% upheld (18)

0% withdrawn (0)

2015

30 tags disputed

20% deleted (6)

10% revised (3)

70% upheld (21)

0% withdrawn (0)

2016

42 tags disputed

17% deleted (7)

7% revised (3)

69% upheld (29)

7% withdrawn (3)

➤ Federal IIDR

2013

10 tags disputed

100% upheld (4)

2014

1 tags disputed

100% upheld (1)

2015

2 tags disputed

100% upheld (2)

2016

0 tags disputed

➤ Additional Updates

Resources

- DNCF 717-787-1816
- DSI 717-787-1911
- Message Board
 - ▣ www.health.state.pa.us
- CMS Website
 - ▣ www.cms.hhs.gov
- State Operations Manual
 - ▣ http://cms.hhs.gov/manuals/Downloads/som107ap_pp_guidelines_ltcf.pdf