

PADONA 2016

Department of Health Update

Presented by:

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Facility and Survey Data 2015

Facilities

- ▀ 702 Facilities
- ▀ 88,233 Beds

Surveys Completed

- ▀ 4,277 Total surveys
- ▀ 711 Re-licensure/recertification surveys (Full Health Surveys)
- ▀ 1,316 Revisits (to all types of surveys)
- ▀ 3,327 On-site visits (includes complaint visits)

Facility and Survey Data 2014

Facilities

- ▀ 703 Facilities
- ▀ 88,090 Beds

Surveys Completed

- ▀ 3,716 Total surveys
- ▀ 709 Re-licensure/recertification surveys (Full Health Surveys)
- ▀ 1,109 Revisits (to all types of surveys)
- ▀ 2,810 On-site visits (includes complaint visits)

Statewide Deficiency Free Surveys

2013

- ▀ 74 Full Health Surveys were deficiency free

2014

- ▀ 68 Full Health Surveys were deficiency free

2015

- ▀ 53 Full Health Surveys were deficiency free

Surveys with Scope & Severity D & Above

	<u>2013</u>	<u>2014</u>	<u>2015</u>
Standard Surveys	651	629	650
Complaint Surveys	390	455	703
Substandard Quality of Care	6	1	3
Immediate Jeopardy Tags	2	11	12

▶ Frequency of DNCF Visits 2015

Number of Visits	% of PA facilities
20 +	1.00%
10 to 19	8.83%
6 to 9	21.51%
<i>2 to 5</i>	<i>53.85%</i>
1	14.10%

▶ Frequency of DNCF Visits 2014

Number of Visits	% of PA facilities
20 +	0.28%
10 to 19	5.12%
6 to 9	18.63%
<i>2 to 5</i>	<i>56.19%</i>
1	18.92%

Provisional Licenses Issued

- 2009 – 29
- 2010 – 10
- 2011 - 18
- 2012 – 2
- 2013 – 2
- 2014 – 9
- 2015 – 19

State Actions

Total state actions for
2013

PI/CMP= 1
PII/CMP= 0
PIII/CMP= 0
PIV/CMP = 0
PI only= 1
PII only= 0
PIII only = 0
PIV only= 0
CMP only= 11
Total = 13

Total state actions for
2014

PI/CMP= 1
PII/CMP= 2
PIII/CMP= 0
PIV/CMP = 0
PI only= 4
PII only= 2
PIII only = 0
PIV only= 0
CMP only= 8
Total = 17

Total state actions for
2015

PI/CMP= 6
PII/CMP= 2
PIII/CMP= 0
PIV/CMP = 0
PI only= 7
PII only= 2
PIII only = 1
PIV only= 1
CMP only= 24
Total = 43

PI=Provisional I license
PII=Provisional II license
PIII=Provisional III license
PIV = Provisional IV license
CMP=Civil Monetary Penalty

2015 Complaint Data

Complaint Data

- Total received= 2,591
- Total substantiated= 863 (33.31%)
- Onsite investigations conducted= 2,330 (89.93%)
- Substantiated complaints with citations issued at "G" or above= 73 (2.82%)

Most Frequently Filed

- Care or Services 66.77%
- Resident Rights 14.73%
- Environment 9.32%

2014 Complaint Data

Complaint Data

- Total received= 1,930
- Total substantiated= 483 (25.03%)
- Onsite investigations conducted= 1,725 (89.38%)
- Substantiated complaints with citations issued at "G" or above= 29 (1.50%)

Most Frequently Filed

- Care or Services 65.99%
- Resident Rights 16.98%
- Environment 8.79%

Complaint Compilation

2013

Total Complaints Received = 1855

Total Complaints Substantiated = 446

Total tags cited related to complaints = 353

Highest S/S cited during complaint surveys = L

2014

Total Complaints Received = 1930

Total Complaints Substantiated = 488

Total tags cited related to complaints = 388

Highest S/S cited during complaint surveys = L

Complaint Comparison

2015

Total Complaints Received = 2591

Total Complaints Substantiated = 861

Total tags cited related to complaints = 642

Highest S/S cited during complaint surveys = L

2015 Incidents

- Total number of incident reports received:
21,788
- Most Frequently reported events
 - ▣ Transfer to Hospital – **10,432**
 - ▣ Abuse – **2,941**
 - ▣ Other – **2,547**

2014 Incidents

- Total number of incident reports received:
19,411
- Most Frequently reported events
 - ▣ Transfer to Hospital – **9,822**
 - ▣ Abuse – **2,375**
 - ▣ Other – **2,185**

IDR

2012

55 Tags disputed

31% deleted (17)

20% revised (11)

49% upheld (27)

0% withdrawn (0)

2013

69 Tags disputed

19% deleted (13)

7% revised (5)

72% upheld (50)

0% withdrawn (0)

2014

60 Tags disputed

15% deleted (9)

20% revised (12)

63% upheld (38)

2% withdrawn (1)

2015

131 Tags disputed

9% pending (12)

24% deleted (32)

10% revised (13)

56% upheld (73)

1% withdrawn (1)

State IIDR

2012

5 tags disputed

20% deleted (1)

0% revised (0)

80% upheld (4)

0% withdrawn (0)

2013

14 tags disputed

0% deleted (0)

7% revised (1)

86% upheld (12)

7% withdrawn (1)

2014

24 tags disputed

25% deleted (6)

0% revised (0)

75% upheld (18)

0% withdrawn (0)

2015

30 tags disputed

17% pending (5)

20% deleted (6)

10% revised (3)

75% upheld (18)

0% withdrawn (0)

Federal IIDR

2013

10 tags disputed

100% upheld (4)

2014

1 tags disputed

100% upheld (1)

2015

2 tags disputed

100% upheld (2)

▶ Additional Updates

Nursing Home Task Force
Anonymous Complaints
Auditor General Evaluation
Payroll Based Journal (PBJ)
Misc.

Frequently Cited Tags

Listed below are the top 5 most frequently cited tags in order from most cited.

<u>2013</u>	<u>2014</u>	<u>2015</u>
F309	F309	F309
F441	F441	F441
F323	F514	F514
F514	F323	F323
F371	F371	F371

0309 =PROVIDE CARE/SERVICES FOR HIGHEST WELL BEING

0441 =INFECTION CONTROL, PREVENT SPREAD, LINENS

0514 =RES RECORDS-COMPLETE/ACCURATE/ACCESSIBLE

0323 =FREE OF ACCIDENT HAZARDS/SUPERVISION/DEVICES

0371 =FOOD PROCURE, STORE/PREPARE/SERVE - SANITARY

Resources

- DNCF 717-787-1816
- DSI 717-787-1911
- Message Board
 - ▣ www.health.state.pa.us
- CMS Website
 - ▣ www.cms.hhs.gov
- State Operations Manual
 - ▣ http://cms.hhs.gov/manuals/Downloads/som107ap_pp_guidelines_ltcf.pdf